

DFA Closure Properties

Arjun Chandrasekhar

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 $L^c = \{w \mid w \text{ doesn't contain exactly two } a\text{'s}\}$

Design a DFA

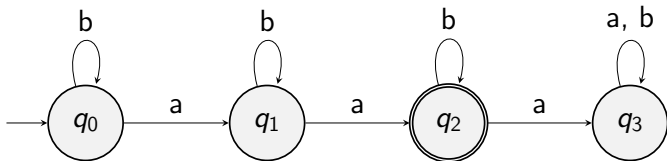
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- ▶ Let's design DFAs to recognize L and L^c

Design a DFA

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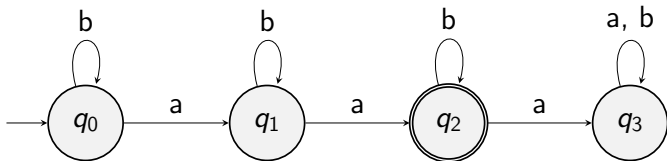
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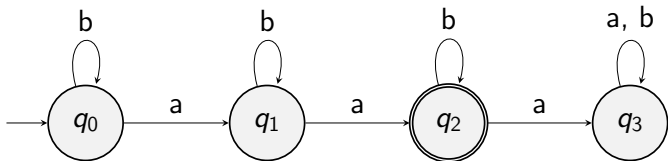
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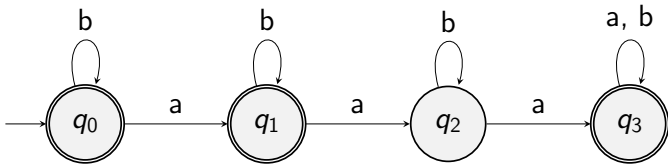
$$L = \{w \mid w \text{ *doesn't* contain exactly two a's}\}$$

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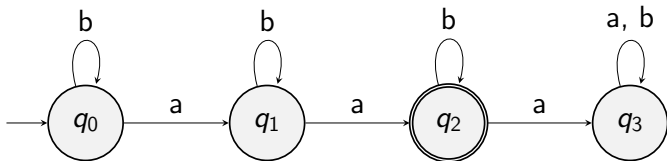


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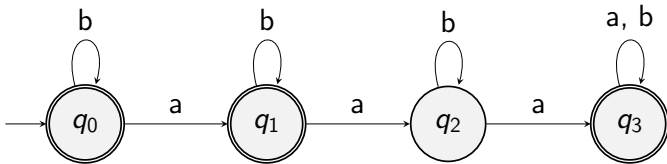


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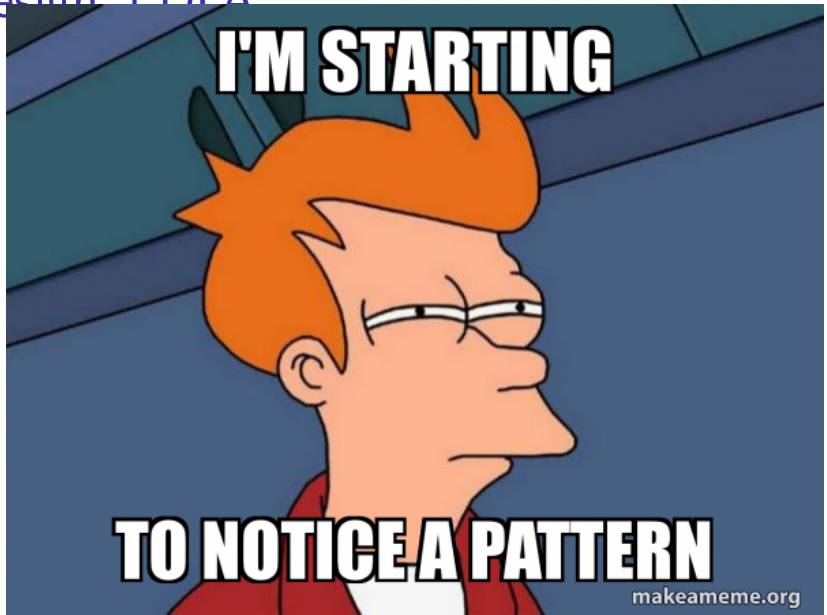
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Notice a pattern?



Closure of regular languages under complement

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Proposition: Regular languages are closed under complement

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- ▶ What do we want to show for L^c ?
 - ▶ That L^c is also regular...
 - ▶ i.e., there is also a DFA to recognize L^c

Closure of regular languages under complement

Technique: Go through every single regular language one by one, and show that its complement is also regular

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- ▶ This is actually quite simple!
 - ▶ We simply start with D , and then we flip the accept and reject states.
- ▶ Now let's try to give an airtight proof!

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 - ▶ $Q^c = Q$ (same states)
 - ▶ $\Sigma^c = \Sigma$ (same alphabet)
 - ▶ $\delta^c = \delta$ (same transitions)
 - ▶ $q_s^c = q_s$ (same start state)
 - ▶ $F^c = Q \setminus F$ (flip the accept/reject states)

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- ▶ Let Σ be an alphabet, $L \subseteq \Sigma^*$ be a formal language
- ▶ Let $w \in \Sigma^*$ be a string. Then w^r is the *reversal* of w , i.e. all the characters of w backwards
- ▶ $L^r = \{w^r \mid w \in L\}$ is the *reversal* of L , i.e. the backwards version of all the strings in L

Reversal of a language

► $L = \{w \mid w \text{ starts with } a \text{ and ends with } b\}$

Reversal of a language

- ▶ $L = \{w \mid w \text{ starts with } a \text{ and ends with } b\}$
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- ▶ $L = \{w \mid w \text{ contains } aab\}$

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- ▶ $L = \{w \mid w \text{ is an even integer}\}$

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- ▶ $L = \{w \mid w \text{ is an even integer}\}$
 - ▶ $L' = \{w \mid w \text{ starts with } 0, 2, 4, 6, 8\}$

Reversal of a language

Let $\Sigma = \{0, 1\}$, and let $L = \{w \mid w \text{ starts with } 01\}$.
Which of the following strings are in L^r

A) 01

B) 1010

C) 0101

D) 1111110

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Which of the following strings are in L^r

A) 01

B) 1010 ✓

C) 0101

D) 1111110 ✓

Reversal of a language

$$\blacktriangleright \text{ Let } \Sigma = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 3 \\ 4 \\ 5 \end{bmatrix}, \dots, \begin{bmatrix} 9 \\ 9 \\ 9 \end{bmatrix} \right\}$$

Reversal of a language

- ▶ Let $\Sigma = \left\{ \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 3 \\ 4 \\ 5 \end{bmatrix}, \dots, \begin{bmatrix} 9 \\ 9 \\ 9 \end{bmatrix} \right\}$
- ▶ Let $B = \{w \in \Sigma^* \mid \text{the top row} + \text{the middle row} = \text{the bottom row}\}$

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- ▶ Let $B = \{w \in \Sigma^* \mid \text{the top row} + \text{the middle row} = \text{the bottom row}\}$
- ▶ $\begin{bmatrix} 4 \\ 3 \\ 7 \end{bmatrix} \begin{bmatrix} 2 \\ 0 \\ 2 \end{bmatrix} \begin{bmatrix} 5 \\ 1 \\ 6 \end{bmatrix} \in B: 425 + 301 = 726$

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► $\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \begin{bmatrix} 1 \\ 4 \\ 5 \end{bmatrix} \begin{bmatrix} 9 \\ 1 \\ 0 \end{bmatrix} \notin B: 119 + 041 \neq 150$

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Which of the following strings are in B ?

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C. $\begin{bmatrix} 9 \\ 1 \\ 0 \end{bmatrix} \begin{bmatrix} 9 \\ 1 \\ 0 \end{bmatrix} \begin{bmatrix} 9 \\ 1 \\ 0 \end{bmatrix}$

B. $\begin{bmatrix} 0 \\ 7 \\ 8 \end{bmatrix} \begin{bmatrix} 3 \\ 7 \\ 0 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 2 \end{bmatrix}$

D. $\begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} \begin{bmatrix} 4 \\ 5 \\ 6 \end{bmatrix} \begin{bmatrix} 7 \\ 8 \\ 9 \end{bmatrix}$

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- ▶ **Proof:** see board

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- ▶ That is, if L is regular, then L^r is regular
- ▶ You will prove this on a future homework

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- ▶ The *perfect shuffle* of A and B is
$$L = \{w \mid w = a_1b_1a_2b_2 \dots a_nb_n \text{ where } a_1a_2 \dots a_n \in A \text{ and } b_1b_2 \dots b_n \in B\}$$

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- ▶ The **odd characters** form a string in A
- ▶ The **even characters** form a string in B

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- ▶ $010101 \in \text{PERFECT-SHUFFLE}(A, B)$
 - ▶ $000 \in A$
 - ▶ $111 \in B$
- ▶ $010100 \notin \text{PERFECT-SHUFFLE}(A, B)$
 - ▶ $000 \in A$
 - ▶ $110 \notin B$

Perfect shuffle example

Let $\Sigma = \{a, b\}$.

Let $A = \{w \mid w \text{ has an even number of } a\text{'s}\}$

Let $B = \{w \mid w \text{ ends with } b\}$

Which of the following strings are in
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 - ▶ What do we want to show for $\text{PERFECT-SHUFFLE}(A, B)$?
 - ▶ There exists a DFA D that recognizes $\text{PERFECT-SHUFFLE}(A, B)$

Perfect shuffle

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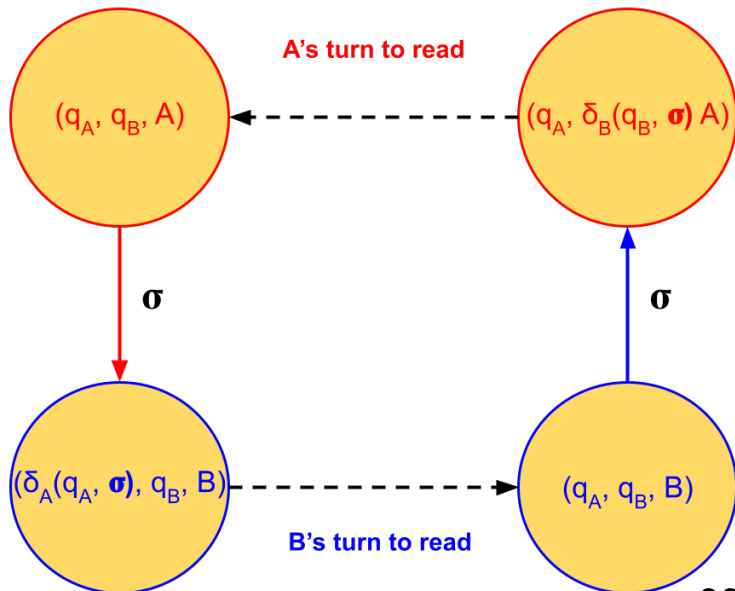
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Perfect shuffle idea



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► $Q = Q_A \times Q_B \times \{A, B\}$

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- ▶ Each state is a combination of 3 elements:
 - ▶ A state $q_A \in Q_A$
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Perfect shuffle closure - transition function

Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A

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The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize PERFECT-SHUFFLE(A, B)

$$\blacktriangleright \delta((q_A, q_B, A), \sigma) = (\delta_A(q_A, \sigma), q_B, B)$$

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- ▶ $\delta((q_A, q_B, A), \sigma) = (\delta_A(q_A, \sigma), q_B, B)$
 - ▶ When it's A's turn, we transition A's state, keep B's state the same, and switch to B's turn to read

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 - ▶ When it's A's turn, we transition A's state, keep B's state the same, and switch to B's turn to read
- ▶ $\delta((q_A, q_B, B), \sigma) = (q_A, \delta_B(q_B, \sigma), A)$
 - ▶ When it's B's turn, we transition B's state, keep A's state the same, and switch to A's turn to read

Perfect shuffle closure - start state

Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A

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- ▶ $q_s = (q_{s_A}, q_{s_B}, A)$
- ▶ We start out in A's start state

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- ▶ $q_s = (q_{s_A}, q_{s_B}, A)$
- ▶ We start out in A's start state
- ▶ We start out in B's start state
- ▶ Initially, it's A's turn to read

Perfect shuffle closure - accept states

Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A

Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

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► $F = F_A \times F_B \times \{A\}$

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- ▶ $F = F_A \times F_B \times \{A\}$
- ▶ A's state should be one of its accept states.

Perfect shuffle closure - accept states

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- ▶ B's state should also be one of its accept states

Perfect shuffle closure - accept states

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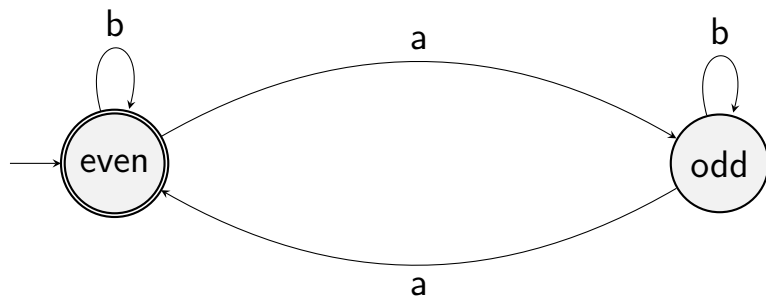
Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

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- ▶ $F = F_A \times F_B \times \{A\}$
- ▶ A's state should be one of its accept states.
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- ▶ At the end it should be A's turn to read.

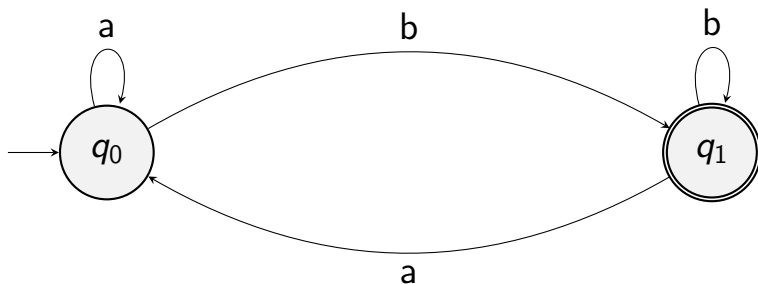
Perfect shuffle example

DFA for $A = \{w \mid w \text{ has an even number of a's}\}$



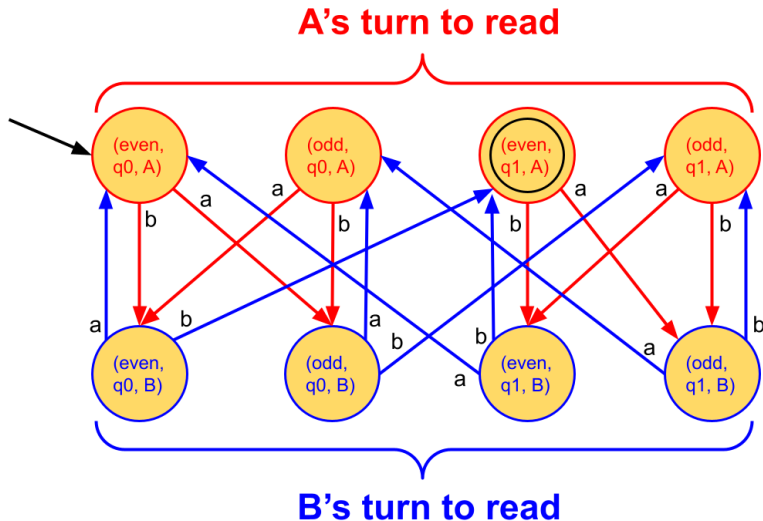
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DFA for $B = \{w \mid w \text{ ends with } b\}$



Perfect shuffle example

DFA for PERFECT-SHUFFLE(A, B)



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$$A^* = \{\epsilon\} \cup \{w = w_1 w_2 \dots w_n \mid w_i \in A\}$$

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- ▶ Note that A^* *always* includes empty string ϵ

Union Operation

Let $\Sigma = \{a, b\}$.

Let $A = \{w \mid w \text{ has an even number of } a\text{'s}\}$

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Which of the following strings are in $A \cup B$?

A) aaaaaa

B) baaaab

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B) aab|aa ✓

C) bba

D) bbb|aaaa ✓

Kleene star operation

Let $\Sigma = \{a, b\}$.

Let $A = \{w \mid w \text{ has an even number of } a\text{'s}\}$

Let $B = \{w \mid w \text{ ends with } b\}$

Which of the following strings are in A^* ?

A) ϵ

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C) aabaaa

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B) $aaaa|babab|$ ✓

C) $aabaaa$

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E) $bbb|aaaa$ ✓

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Let $\Sigma = \{a, b\}$.

Let $A = \{w \mid w \text{ has an even number of } a\text{'s}\}$

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Which of the following strings are in B^* ?

A) ϵ

D) bba

B) aaaababab

E) bbbaaaa

C) aabaaa

Kleene star operation

Let $\Sigma = \{a, b\}$.

Let $A = \{w \mid w \text{ has an even number of } a\text{'s}\}$

Let $B = \{w \mid w \text{ ends with } b\}$

Which of the following strings are in B^* ?

A) ϵ ✓

B) $aaaab|ab|ab|$ ✓

C) $aabaaa$

D) bba

E) $bbbbaaaa$

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Proposition: Regular languages are closed under union

- ▶ This means that if L_1 and L_2 are regular, then $L_1 \cup L_2$ is regular
- ▶ What do we know about L_1 and L_2 ?
 - ▶ There exist DFAs D_1, D_2 that recognizes L_1 and L_2 , respectively
- ▶ What do we want to show for $L_1 \cup L_2$?
 - ▶ Want to show that there is a DFA D_3 that recognizes $L_1 \cup L_2$

Closure of regular languages under union

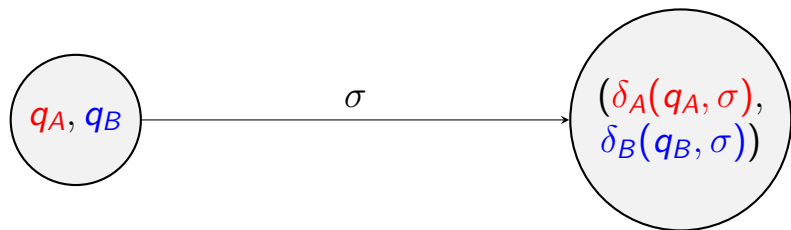
Closure of regular languages under union

- ▶ **Proof idea:** Using D_1 and D_2 , we will construct a DFA that runs both machines simultaneously and accepts if either machine accepts.

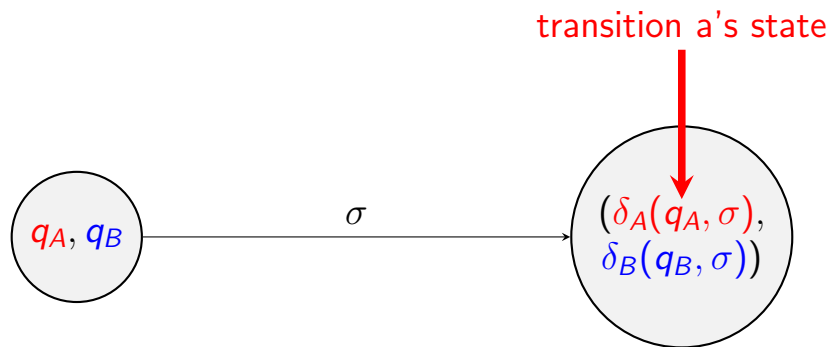
Closure of regular languages under union

- ▶ **Proof idea:** Using D_1 and D_2 , we will construct a DFA that runs both machines simultaneously and accepts if either machine accepts.
- ▶ **Technique:** Run two DFAs *in parallel* using the cartesian product construction

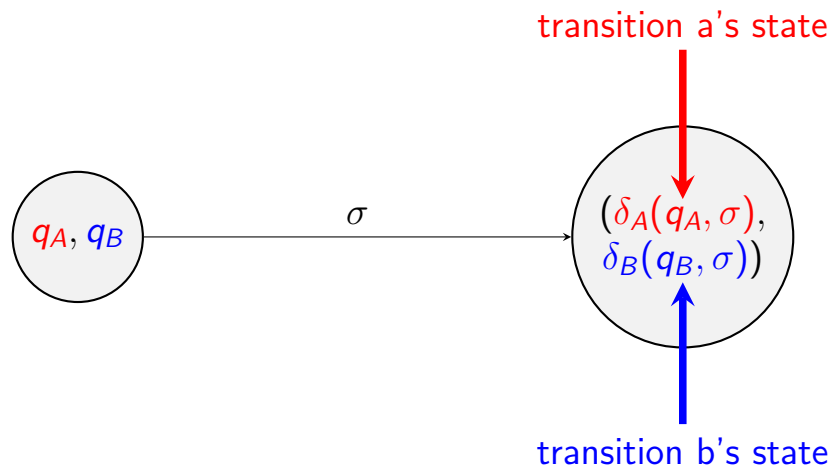
Union idea



Union idea



Union idea



Union closure

Union closure

Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A

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► $Q = Q_A \times Q_B$

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Union closure - states

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The following DFA $D = (Q, \Sigma, q_s, \delta, F)$ will recognize $A \cup B$

- ▶ $Q = Q_A \times Q_B$
- ▶ Each state is a combination of 2 elements:

Union closure - states

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 - ▶ A state $q_A \in Q_A$
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Union closure - transition function

Let $D_A = (Q_A, \Sigma, q_{s_A}, \delta_A, F_A)$ recognize A

Let $D_B = (Q_B, \Sigma, q_{s_B}, \delta_B, F_B)$ recognize B

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- ▶ We transition A to its next state
- ▶ We simultaneously transition B to its next state

Union closure - start state

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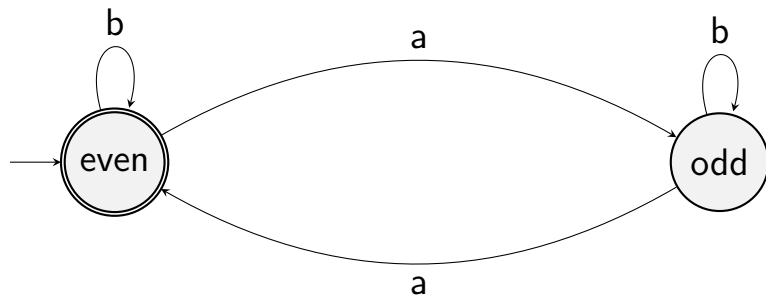
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- ▶ Either A's state should be one of its accept states...
- ▶ ... or B's state should be one of its accept states
- ▶ (or perhaps both!)

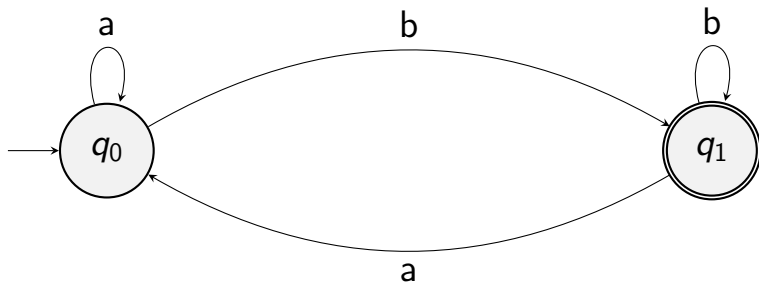
Union example

DFA for $A = \{w \mid w \text{ has an even number of a's}\}$

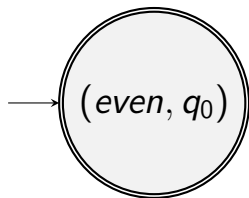


Union example

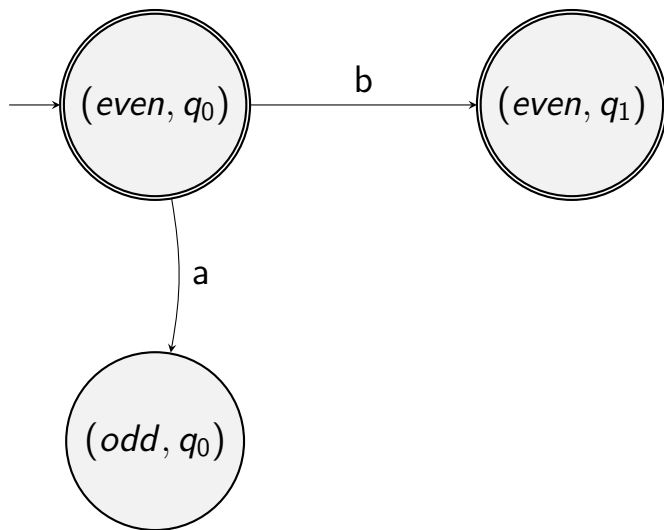
DFA for $B = \{w \mid w \text{ ends with } b\}$



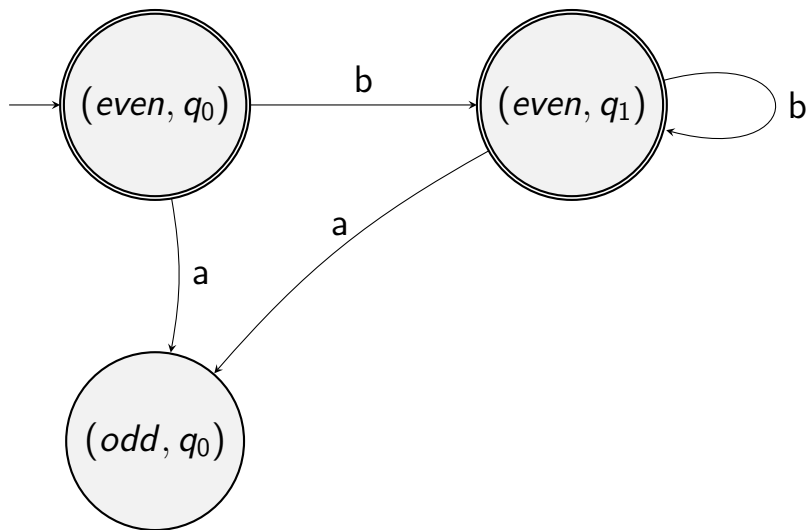
Union example



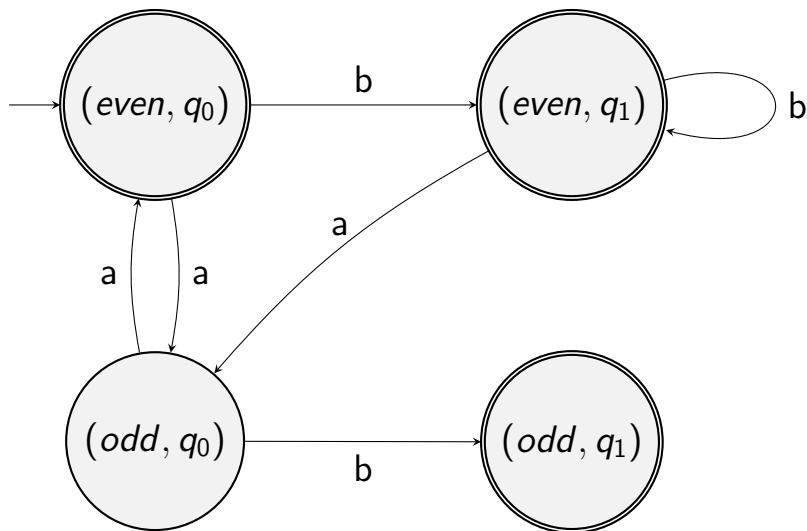
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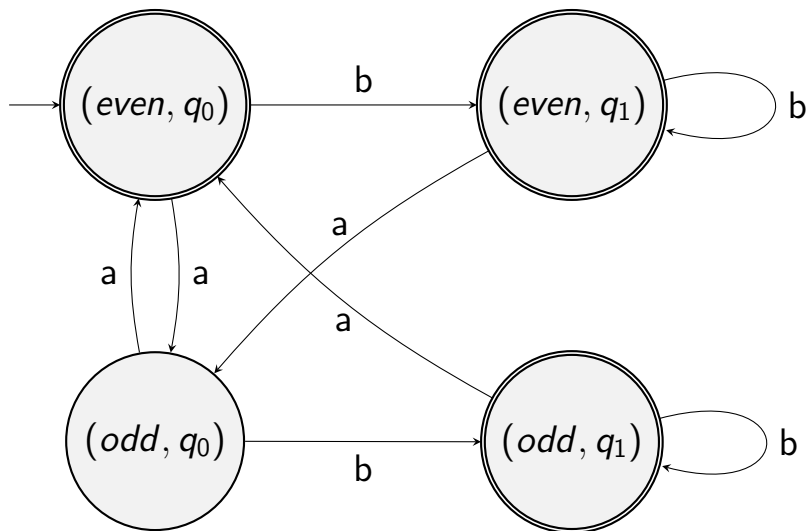
Union example



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Closure of regular languages under intersection

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► $L_1 \cap L_2 = \{w \mid w \in L_1, w \in L_2\}$

Closure of regular languages under intersection

- ▶ $L_1 \cap L_2 = \{w \mid w \in L_1, w \in L_2\}$
- ▶ Q: How do we prove closure under intersection?

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 - ▶ **Technique 1:** Run the two machines in parallel using the Cartesian product construction

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 - ▶ **Technique 1:** Run the two machines in parallel using the Cartesian product construction
 - ▶ **Technique 2:** Express intersection in terms of other operations that we know regular languages are closed under

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- ▶ $q_s = (q_{s_A}, q_{s_B})$
- ▶ $F = F_A \times F_B$
 - ▶ Need an accept state for A *and* for B

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- ▶ Thus, $L_1 \cap L_2$ is regular!

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- ▶ Let D_1 and D_2 recognize L_1 and L_2

Closure under concatenation

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- ▶ We need to combine them into a machine that recognizes $L_1 \circ L_2$

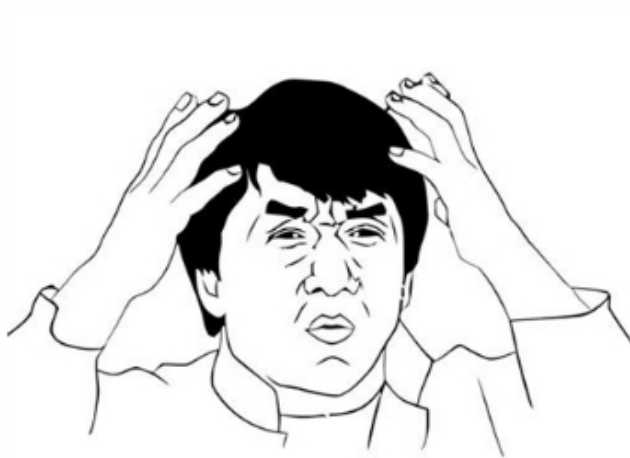
Closure under concatenation

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Clos



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BUT HOW?!

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Technique: nondeterminism!