Arjun Chandrasekhar





- Another way to describe languages
- A formula that can be used to generate strings

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- A formula that can be used to generate strings
- Formed by combining smaller regular expressions using the three regular operations



Let Σ be a alphabet. We say R is a **regular** expression if R is:

1. σ for some $\sigma \in \Sigma$

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- 6. R_1^* where R_1 is a regular expression

Let $\Sigma = \{a, b\}$ Which strings are generated by the following regex?

$$R = a$$

A) ϵ (empty string) D) aaaaaaaaaa

B) a E) None of the above

C) b F) invalid regex

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Empty set is different from empty string!

Let $\Sigma = \{a, b\}$ Which strings are generated by the following regex?

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Regular Expressions Some notes:

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- Σ is often shorthand for $\sigma_1 \cup \sigma_2 \dots \sigma_n$
- *R*⁺ is shorthand for *RR*^{*} (which is shorthand for *R* ∘ *R*^{*})

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- String search tools that are built into operating system utilities (like awk and grep in Unix), text editors, and programming language libraries. Regular expressions describe the strings that are being searched for.
- The regular expressions in these tools typically have a richer set of operators, to facilitate more easily describing strings.

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2.
$$\Sigma^* 001\Sigma^* = \{w \mid w \text{ contains } 001 \text{ as a substring}\}$$

3. $0 \cup 1 \cup (0\Sigma^*0) \cup (1\Sigma^*1) = \{w \mid w \text{ starts and} ends with the same symbol}\}$

Let $\Sigma = \{0, 1\}$. Write a regex for:

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$R = (1\Sigma)^* \circ (\epsilon \cup 1)$

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1*(01*01*)*

Let $\Sigma = \{0, 1\}$. Write a regex for:

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 $(0^*1)(0^*1)0^*$

Regex practice

Let $\Sigma = \{0, 1\}$. Write a regex for:

 $\label{eq:L} \mathsf{L} = \{\mathsf{w} \mid \mathsf{w} \text{ contains an even number of 0s or} \\ \text{exactly two 1s} \}$

Regex practice

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 $((1^*01^*0)^*1^*) \cup (0^*10^*10^*)$

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```
(00)^{*}1(00)^{*}1(00)^{*}
\cup
0(00)^{*}10(00)^{*}1(00)^{*}
\cup
(00)^{*}10(00)^{*}10(00)^{*}
\cup
0(00)^{*}1(00)^{*}10(00)^{*}
```

Regex to NFA

Design an NFA with 5 states to recognize $0^* \cup 1^*0^+$

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- What are the two directions we must prove?
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 - ► (⇐) If a language is regular, then it is described by a regular expression
- Recall: A language is regular if and only if it is described by a DFA

Theorem: A language is described by a regular expression if and only if it is regular

- What are the two directions we must prove?
 - (⇒) If a language is described by a regular expression, it is regular
 - ► (⇐) If a language is regular, then it is described by a regular expression
- Recall: A language is regular if and only if it is described by a DFA
 - Or equivalently (and conveniently), an NFA
Claim: If a language L can be described by a regular expression R, then L is regular



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Proof Idea: We will use induction to create an NFA for R

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- Proof Idea: We will use induction to create an NFA for R
- Show how to make an NFA for the atomic regular expressions
- For union, concatenation, and star, use induction to make NFAs for the smaller parts of the expression, and then combine them

Base Case: $R = \sigma \in \Sigma$





Base Case: $R = \epsilon$





Base Case: $R = \emptyset$





Kleene's theorem (Forward Direction) Inductive Case: $R = R_1 \cup R_2$



Kleene's theorem (Forward Direction) Inductive Case: $R = R_1 \circ R_2$



split the input string



Let's make an NFA for $R = ((ab) \cup a)^*$

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NFA for a



Let's make an NFA for $R = ((ab) \cup a)^*$

NFA for b



Let's make an NFA for $R = ((ab) \cup a)^*$

NFA for $ab = a \circ b$



Regex to NFA Conversion Example Let's make an NFA for $R = ((ab) \cup a)^*$

NFA for $ab \cup a$



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NFA for $(ab \cup a)^*$



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- "Rip" states one at a time, and modify the other transitions to compensate
- When there's just one transition remaining, we will have the desired regex

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- Except for the start and accept states, one arrow goes from every state to every other state, and also from each state to itself.



To make a DFA onto a GNFA:

1. Create a special start state, with an ϵ transition to the original start state

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 - We can omit these when drawing state diagrams
DFA to GNFA



DFA to GNFA



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DFA to GNFA

Full starting GNFA



How could we get from q_i to q_j ?



Ripping a state How could we get from q_i to q_j ?

 q_i

 R_4

 q_j

1. Go directly from q_i to q_j

How could we get from q_i to q_j ?



- 1. Go directly from q_i to q_j 2. Go through q_i .
- 2. Go through $q_{
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How could we get from q_i to q_j ?



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- 2. Go through $q_{\rm rip}$
 - $2.1 \ q_i \to q_{\rm rip}$

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- 1. Go directly from q_i to q_j
- 2. Go through q_{rip}

2.1
$$q_i \rightarrow q_{\rm rip}$$

2.2 $q_{
m rip}
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How could we get from q_i to q_j ?



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How could we get from q_i to q_j ?



- 1. Go directly from q_i to q_j (R_4)
- 2. Go through q_{rip}

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 - 2.1 $q_i \rightarrow q_{rip}$ (R_1)
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 - 2.3 $q_{\mathrm{rip}} \rightarrow q_j \ (R_3)$

How could we get from q_i to q_j ?



- 1. Go directly from q_i to q_j (R_4)
- 2. Go through q_{rip} $(R_1 \circ R_2^* \circ R_3)$

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$$q_i \rightarrow q_{rip}$$
 (R_1)

- 2.2 $q_{\rm rip} \rightarrow q_{\rm rip}$ any number of times (R_2^*)
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How could we get from q_i to q_j ?



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 - 2.1 $q_i \rightarrow q_{rip}$ (R_1) 2.2 $q_{rip} \rightarrow q_{rip}$ any number of times (R_2^*)
 - 2.3 $q_{\rm rip} \rightarrow q_j (R_3)$
- $R = (R_1 \circ R_2^* \circ R_3) \cup R_4$



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DFA to Regex



DFA to Regex



DFA to Regex







DFA to Regex



Rip State 1



DFA to Regex



 $R = a^* b (a \cup B)^*$

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Regular expressions are equivalent to NFAs

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 Which makes them equivalent to DFAs

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 Which makes them equivalent to DFAs
 DFAs are equivalent to regular expressions



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- A language is regular if and only if it is described by a regular expression

- Regular expressions are equivalent to NFAs
 Which makes them equivalent to DFAs
- ► DFAs are equivalent to regular expressions
- A language is regular if and only if it is described by a regular expression
- To show a language is regular, can use a state machine or a regex

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Regular expression closure proofs

- Regular expressions characterize the regular languages
- To show a language is regular, it is sometimes more convenient to use a regex than a state machine
- Sometimes, it is easier to write a closure proof using a regex
- **Blueprint:** Use an inductive proof
 - ► Base case: Show that closure holds for the three atomic regexes (Ø, ϵ, a)
 - Inductive case: show that closure holds for t he three regular operations (union, concatenation, Kleene star)

EVERY-OTHER closure

Claim: If A is regular then EVERY-OTHER(A) is regular

EVERY-OTHER(A) = { $w = a_1 y_1 \dots a_n y_n$ | $a_1 \dots a_n \in A$ $y'_i s$ can be anything}

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Because A is regular, it is described by a regular expression R

EVERY-OTHER closure

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EVERY-OTHER(A) = { $w = a_1 y_1 \dots a_n y_n$ | $a_1 \dots a_n \in A$ $y'_i s$ can be anything}

- Because A is regular, it is described by a regular expression R
- We will construct a regular expression R' that describes EVERY-OTHER(A)

 \triangleright $R = \emptyset$

 $R = \emptyset$ $R' = \emptyset$

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 $R = \emptyset$ $R' = \emptyset$ $R = \epsilon$

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38

 $R = \emptyset$ $R' = \emptyset$ $R = \epsilon$ $R' = \epsilon$ $R = a \in \Sigma$

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 $R = \emptyset$ $R' = \emptyset$ $R = \epsilon$ $R' = \epsilon$ $R = a \in \Sigma$ $R' = a\Sigma$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

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Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

Let A be described by a regex R with size n + 1. $\triangleright R = R_1 \cup R_2$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

$$R = R_1 \cup R_2 R' = R'_1 \cup R'_2$$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

$$R = R_1 \cup R_2 R' = R'_1 \cup R'_2 R = R_1 \circ R_2$$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

▶
$$R = R_1 \cup R_2$$

 $R' = R'_1 \cup R'_2$
▶ $R = R_1 \circ R_2$
 $R' = R'_1 \circ R'_2$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)

Let A be described by a regex R with size n + 1.

$$R = R_1 \cup R_2 R' = R'_1 \cup R'_2 R = R_1 \circ R_2 R' = R'_1 \circ R'_2 R = (R_1)^*$$

Assume if A is described by a regex R_i with size $\leq n$, there is a regex R'_i for EVERY-OTHER(A)